

This paper will be considered in public

1 Summary

- 1.1 This paper provides an update on the Project Assurance (PA) work undertaken between 20 August and 11 November 2023 (Period 6 to Period 8 of 2023/24). Two programme reviews were undertaken during this time, with the Independent Investment Programme Advisory Group (IIPAG) involved in both. In the same timeframe 12 project reviews were undertaken, with IIPAG involved in four of these.
- 1.2 These reviews gave rise to a total of 36 recommendations being made, of which none were considered to be critical issues. Critical issues are those that the PA team or IIPAG believe should be addressed before projects proceed to the next stage.
- 1.3 A paper is included on Part 2 of the agenda which contains exempt supplemental information that is exempt from publication by virtue of paragraph 3 of Schedule 12A of the Local Government Act 1972 in that it contains information relating to the business affairs of TfL. Any discussion of that exempt information must take place after the press and public have been excluded from this meeting.

2 Recommendation

- 2.1 **The Committee is asked to note the paper and the exempt supplementary information on Part 2 of the agenda.**

3 Background

- 3.1 This update provides the Committee with a summary of the second line assurance, provided by TfL's PA team, and third line assurance, provided by IIPAG.

4 Summary of Project Assurance Activity

- 4.1 From the reviews undertaken, PA made 24 general recommendations and raised no critical issues, with all of these being agreed with the project teams concerned.
- 4.2 IIPAG made 12 general recommendations and raised no critical issues. The recommendations were all agreed with the respective project teams.

5 Status of IIPAG and Project Assurance Recommendations

- 5.1 The following graphs show the number of open recommendations, the number that are overdue, and the number closed at the end of Period 2 (ending 27 May 2023), Period 5 (ending 19 August 2023) and Period 8 (ending 11 November 2023) of 2023/24.

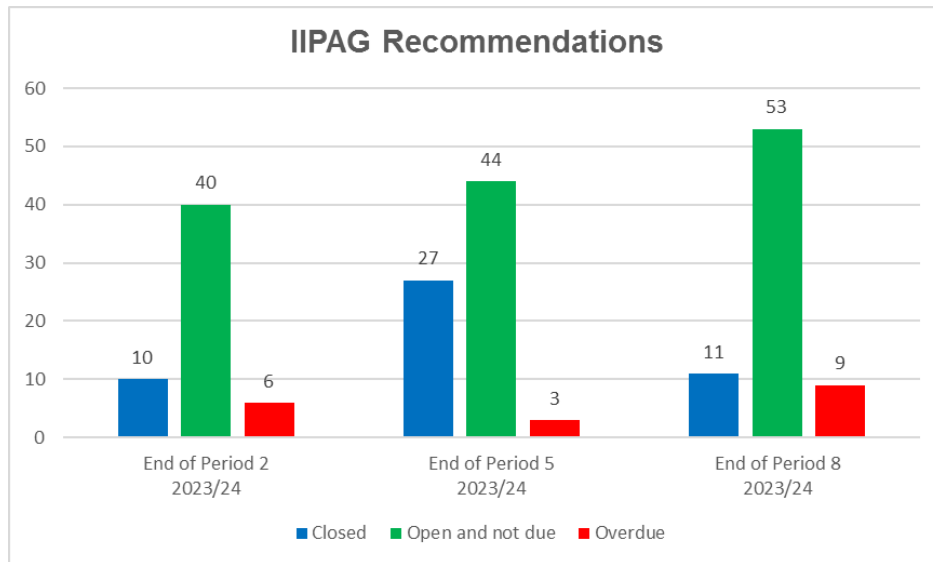


Figure 1: IIPAG Recommendations

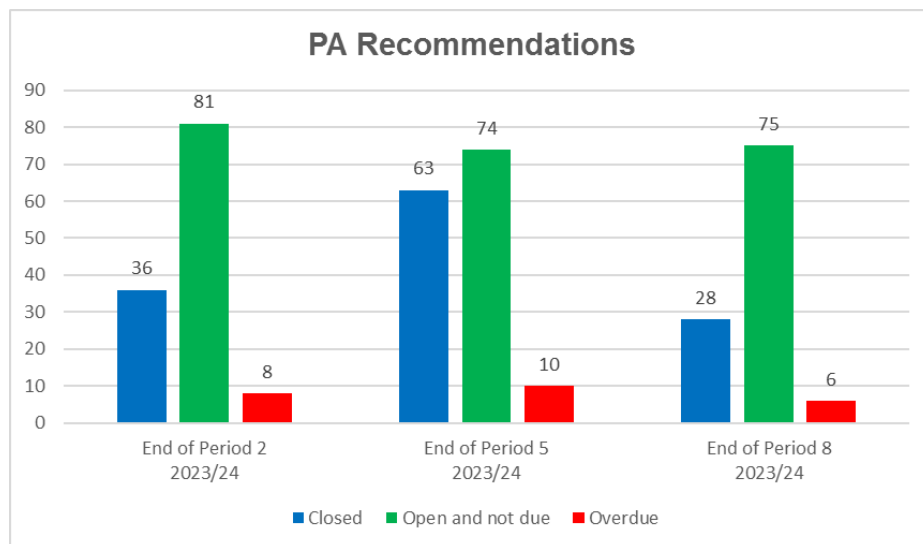


Figure 2: Project Assurance Recommendations

5.2 The following graphs show the length of time that the overdue PA and IIPAG recommendations have been overdue by, as at the end of Period 8, and the Chief Officer area responsible for addressing the recommendations.

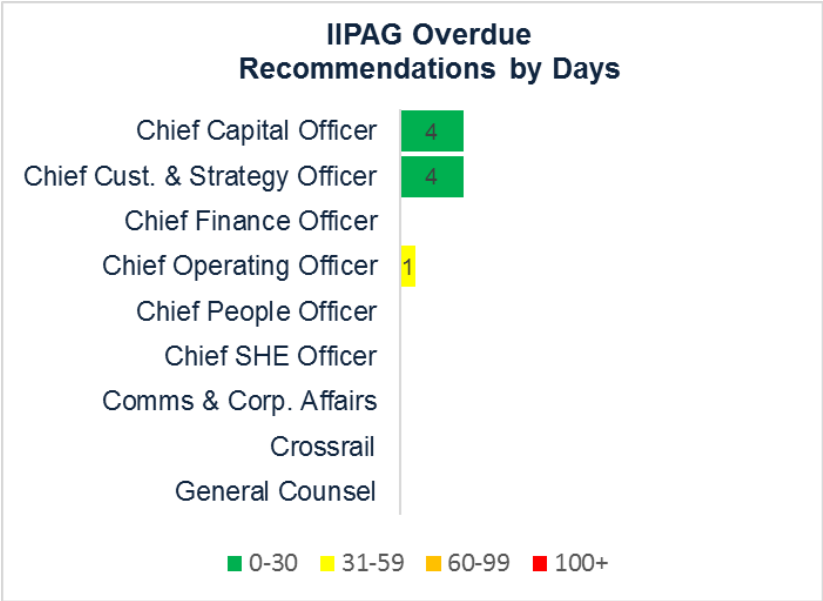


Figure 3: Overdue IIPAG Recommendations

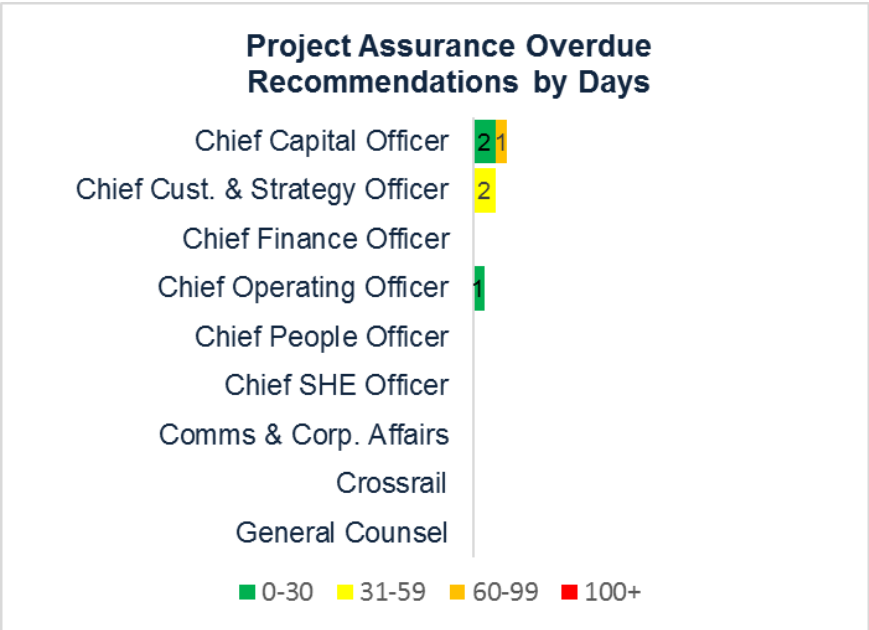
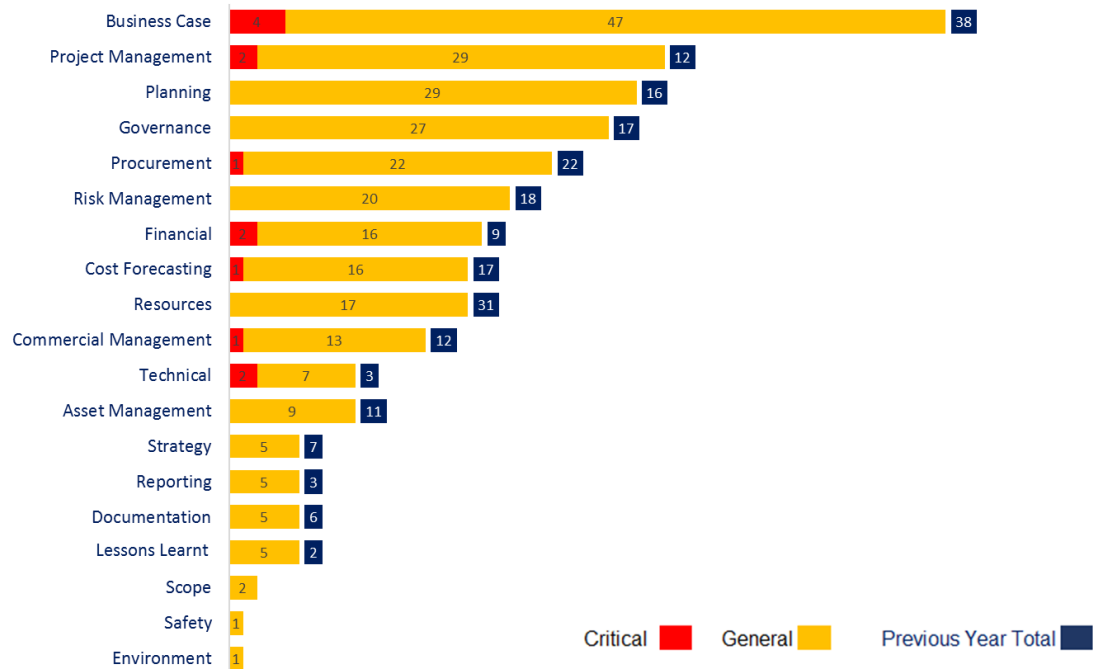


Figure 4: Overdue Project Assurance Recommendations

5.3 The following graph shows the number of recommendations made over the last four quarters grouped by recommendation topic. This is shown against the number of recommendations made in the previous year.



**Figure 5: Project Assurance Recommendations by Topic
(Totals over last four quarters versus previous year)**

List of appendices to this paper:

Exempt supplementary information is included in a paper on Part 2 of the agenda.

List of background papers:

None

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